NPFL138, Lecture 8



Recurrent Neural Networks

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unless otherwise stated



Recurrent Neural Networks

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Recurrent Neural Networks



Single RNN cell drain si nijahý stav v tí whodrocomer bunce input \exists state output -> historii toho vstypes , teus state si predavam **Unrolled RNN cells** input 3input 2 input 1 input 4 state $\exists state$ state state $output \ 2$ $^{\circ}output \ 3$ output 1 output 4 NPFL138, Lecture 8 3/45 RNN LSTM GRU RNNRegularization **RNNArchitectures** WE CLE HighwayNetworks

Basic RNN Cell





Given an input $m{x}^{(t)}$ and previous state $m{h}^{(t-1)}$, the new state is computed as

$$oldsymbol{h}^{(t)} = f(oldsymbol{h}^{(t-1)},oldsymbol{x}^{(t)};oldsymbol{ heta}).$$

One of the simplest possibilities (called SimpleRNN in Keras, RNN in PyTorch) is anto to ale hele ble propagant $h^{(t)} = \tanh(Uh^{(t-1)} + Vx^{(t)} + b)$. Tanh puto is preserve in the propagant $h^{(t)} = \tanh(Uh^{(t-1)} + Vx^{(t)} + b)$. Tanh puto is preserve in the propagant $h^{(t)} = \tanh(Uh^{(t-1)} + Vx^{(t)} + b)$. Tanh puto is preserve in the propagant $h^{(t)} = \tanh(Uh^{(t-1)} + Vx^{(t)} + b)$. Tanh puto is preserve in the propagant $h^{(t)} = \tanh(Uh^{(t-1)} + Vx^{(t)} + b)$. Tanh puto is preserve in the propagant $h^{(t)} = \tanh(Uh^{(t-1)} + Vx^{(t)} + b)$.

Basic RNN Cell



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Basic RNN cells suffer a lot from vanishing/exploding gradients (the so-called challenge of long-term dependencies). toble up public toble pojsh puter unx (touh(x)) = 1

If we simplify the recurrence of states to just a linear approximation

we get
$$\boldsymbol{h}^{(t)} \approx \boldsymbol{U}^t \boldsymbol{h}^{(0)}$$
.
If \boldsymbol{U} has an eigenvalue decomposition of $\boldsymbol{U} = \boldsymbol{Q} \boldsymbol{\Lambda} \boldsymbol{Q}^{-1}$, we get that p thick h and h

The main problem is that the *same* function is iteratively applied many times.

Several more complex RNN cell variants have been proposed, which alleviate this issue to some degree, namely **LSTM** and **GRU**.



Hochreiter & Schmidhuber (1997) suggested that to enforce constant error flow, we would like

f' = 1. Using the quadrant project private that by a constant error carrousel.



They also propose an **input** and **output** gates which control the flow of information into and out of the carrousel (**memory cell** c_t).

$$i_{t} \leftarrow \mathcal{O}(\mathbf{W}^{i}\mathbf{x}_{t} + \mathbf{V}^{i}\mathbf{h}_{t-1} + \mathbf{b}^{i})$$

$$p_{t} \leftarrow \mathcal{O}(\mathbf{W}^{o}\mathbf{x}_{t} + \mathbf{V}^{o}\mathbf{h}_{t-1} + \mathbf{b}^{o})$$

$$c_{t} \leftarrow c_{t-1} + i_{t} \odot \tanh(\mathbf{W}^{y}\mathbf{x}_{t} + \mathbf{V}^{y}\mathbf{h}_{t-1} + \mathbf{b}^{y})$$

$$h_{t} \leftarrow o_{t} \odot \tanh(\mathbf{c}_{t})$$

$$p_{v} \ln v dan dimuni si y thoris Past,$$

$$jah moe se mi dani dato v dimuna hodi$$

$$nos trunjij Weri hodnoty chi jah moe posilat ven.$$

$$tauh pwto, ze G by jinh mob stillet$$

$$y solo...$$

GRU

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Note that since 2015, following the paper Tohle je hynější LSTM

• R. Jozefowicz et al.: An Empirical Exploration of Recurrent Network Architectures the forget gate bias \boldsymbol{b}^f is usually initialized to 1, so that the forget gate is closer to 1 and the gradients can easily flow through multiple timesteps. (Gers et al. advocated this in the original paper already.) (BTW, I think 3 might be even better, as $\sigma(1) \approx 0.731$, $\sigma(3) \approx 0.953$.)

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$$i_t = \sigma \left(W_i \cdot [h_{t-1}, x_t] + b_i \right)$$

 $\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$
undidátní hodnota na aquantacání

http://colah.github.io/posts/2015-08-Understanding-LSTMs/img/LSTM3-focus-i.png

toble muzin chappent jales fully-connected visita

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$$f_t = \sigma \left(W_f \cdot [h_{t-1}, x_t] + b_f \right)$$

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$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

$$Mau \tilde{c}$$

http://colah.github.io/posts/2015-08-Understanding-LSTMs/img/LSTM3-focus-C.png

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$$o_t = \sigma \left(W_o \left[h_{t-1}, x_t \right] + b_o \right)$$
$$h_t = o_t * \tanh \left(C_t \right)$$

http://colah.github.io/posts/2015-08-Understanding-LSTMs/img/LSTM3-focus-o.png

Je tam que hociné vals a proto se to danho trémije

GRU

Gated Recurrent Unit

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Gated recurrent unit (GRU) was proposed by Cho et al. (2014) as a simplification of LSTM. The main differences are forget je doplinet learn no memory cell, • forgetting and updating tied together. $\begin{array}{c} \text{forgetting and updating tied together.} & \text{for to choi} \\ \boldsymbol{r}_t \leftarrow \sigma(\boldsymbol{W}^r \boldsymbol{x}_t + \boldsymbol{V}^r \boldsymbol{h}_{t-1} + \boldsymbol{b}^r) \nearrow \begin{array}{c} \text{blue choi} \\ \text{blue choi} \end{array} \\ \boldsymbol{u}_t \leftarrow \sigma(\boldsymbol{W}^u \boldsymbol{x}_t + \boldsymbol{V}^u \boldsymbol{h}_{t-1} + \boldsymbol{b}^u) \longrightarrow \begin{array}{c} \text{co choi} \\ \text{blue choi} \end{array} \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{W}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{x}_t + \boldsymbol{V}^h (\boldsymbol{v}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{b}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{v}^h (\boldsymbol{v}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{v}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{v}^h (\boldsymbol{v}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{v}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{v}^h (\boldsymbol{v}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{v}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{w}^h (\boldsymbol{v}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{v}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{w}^h (\boldsymbol{w}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{v}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{w}^h (\boldsymbol{w}_t \odot \boldsymbol{h}_{t-1}) + \boldsymbol{w}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{w}^h (\boldsymbol{w}_t \odot \boldsymbol{h}_t \odot \boldsymbol{h}_t) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{w}^h (\boldsymbol{w}_t \odot \boldsymbol{h}_t) + \boldsymbol{w}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{w}^h (\boldsymbol{w}_t \odot \boldsymbol{h}_t) + \boldsymbol{w}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{w}^h (\boldsymbol{w}_t \odot \boldsymbol{h}_t) + \boldsymbol{w}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{w}^h (\boldsymbol{w}^h \boldsymbol{w}_t) + \boldsymbol{w}^h) \\ \boldsymbol{h}_t \leftarrow anh(\boldsymbol{w}^h \boldsymbol{w}_t + \boldsymbol{w}$ $oldsymbol{h}_{t-1}$ anh \boldsymbol{h}_t h_{t-1} $oldsymbol{h}_t \leftarrow oldsymbol{u}_t \odot oldsymbol{h}_{t-1} + (1 - oldsymbol{u}_t) \odot oldsymbol{\hat{h}}_t$ neit Vermin si jeu lus informace 2 minuta h_{t-1} updatnu celý view, õist bude nahmeum Funguje lepe jub LSTM dilig uncit valuin NPFL138, Lecture 8 16/45RNN LSTM GRU RNNRegularization HighwayNetworks RNNArchitectures WE CLE

Gated Recurrent Unit





 $z_t = \sigma \left(W_z \cdot [h_{t-1}, x_t] \right)$ $r_t = \sigma \left(W_r \cdot [h_{t-1}, x_t] \right)$ $\tilde{h}_t = \tanh\left(W \cdot [r_t * h_{t-1}, x_t]\right)$ $h_t = \underbrace{(1 - z_t) * h_{t-1} + z_t}_{\text{oplithy}} \tilde{h}_t$

http://colah.github.io/posts/2015-08-Understanding-LSTMs/img/LSTM3-var-GRU.png

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GRU and LSTM Differences

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The main differences between GRU and LSTM:

- GRU uses fewer parameters and less computation. \circ six matrices $oldsymbol{W}$, $oldsymbol{V}$ instead of eight
- GRU are easier to work with, because the state is just one tensor, while it is a pair of tensors for LSTM.
- In most tasks, LSTM and GRU give very similar results.
- However, there are some tasks, on which LSTM achieves (much) better results than GRU.
 - For a demonstration of difference in the expressive power of LSTM and GRU (caused by the coupling of the forget and update gate), see the paper
 - G. Weiss et al.: On the Practical Computational Power of Finite Precision RNNs for Language Recognition <u>https://arxiv.org/abs/1805.04908</u>
 - $^{\circ}\,$ For a difference between LSTM and GRU on a real-word task, see for example
 - T. Dozat et al.: Deep Biaffine Attention for Neural Dependency Parsing <u>https://arxiv.org/abs/1611.01734</u> GRU se neum, numerit new veri,

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SimpleRNN, GRU, and LSTM Initialization

Recall that when we approximate $h^{(t)} \approx Uh^{(t-1)}$, assuming the eigenvalue decomposition of $U = Q\Lambda Q^{-1}$, we get $h^{(t)} \approx Q\Lambda^{t}Q^{-1}h^{(0)}$. It have the provided of the second second

This motivated a specific initialization scheme for the U matrix – this so-called **recurrent kernel** (the concatenation of all the V^i , V^f , V^o , V^y matrices) is initialized with a randomly generated orthogonal matrix.

This **orthogonal** initialization is used for all RNN cells in Keras (via the recurrent_initializer='orthogonal' parameter of SimpleRNN, GRU, and LSTM).

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Highway Networks



For input \boldsymbol{x} , fully connected layer computes

$$oldsymbol{y} \leftarrow H(oldsymbol{x},oldsymbol{W}_H).$$

Highway networks add residual connection with gating:

$$\boldsymbol{y} \leftarrow H(\boldsymbol{x}, \boldsymbol{W}_H) \odot \left| T(\boldsymbol{x}, \boldsymbol{W}_T) \right| + \boldsymbol{x} \odot (1 - T(\boldsymbol{x}, \boldsymbol{W}_T)).$$

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Usually, the gating is defined as

RNN

$$T(\boldsymbol{x}, \boldsymbol{W}_T) \leftarrow \sigma(\boldsymbol{W}_T \boldsymbol{x} + \boldsymbol{b}_T).$$

Note that the resulting update is very similar to a GRU cell with h_t removed; for a fully connected layer $H(\boldsymbol{x}, \boldsymbol{W}_H) = \tanh(\boldsymbol{W}_H \boldsymbol{x} + \boldsymbol{b}_H)$ it is exactly it, apart from copying \boldsymbol{x} instead of h_{t-1} .

Analogously to LSTM, the transform gate bias b_T should be initialized to a negative number.

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Highway Networks on MNIST



Figure 1: Comparison of optimization of plain networks and highway networks of various depths. *Left:* The training curves for the best hyperparameter settings obtained for each network depth. *Right:* Mean performance of top 10 (out of 100) hyperparameter settings. Plain networks become much harder to optimize with increasing depth, while highway networks with up to 100 layers can still be optimized well. Best viewed on screen (larger version included in Supplementary Material). *Figure 1 of "Training Very Deep Networks", https://arxiv.org/abs/1507.06228*

Highway Networks



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Highway Networks



Figure 4: Lesioned training set performance (y-axis) of the best 50-layer highway networks on MNIST (left) and CIFAR-100 (right), as a function of the lesioned layer (x-axis). Evaluated on the full training set while forcefully closing all the transform gates of a single layer at a time. The non-lesioned performance is indicated as a dashed line at the bottom.

Figure 4 of "Training Very Deep Networks", https://arxiv.org/abs/1507.06228

Regularizing RNNs

Dropout

- Using dropout on hidden states interferes with long-term dependencies.
- However, using dropout on the inputs and outputs works well and is used frequently.
 In case residual connections are present, the output dropout needs to be applied before adding the residual connection.
- Several techniques were designed to allow using dropout on hidden states.
 - Variational Dropout
 - Recurrent Dropout
 - Zoneout

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Regularizing RNNs



Variational Dropout



To implement variational dropout on inputs in Keras, use noise_shape of keras.layers.Dropout to force the same mask across time-steps. The variational dropout on the hidden states can be implemented using recurrent_dropout argument of keras.layers.{LSTM,GRU,SimpleRNN}{,Cell}.

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Recurrent Dropout

Dropout only candidate states (i.e., values added to the memory cell in LSTM and previous state in GRU), independently in every time-step.

Zoneout

Randomly preserve hidden activations instead of dropping them.

Batch Normalization

Very fragile and sensitive to proper initialization – there were papers with negative results (Dario Amodei et al, 2015: Deep Speech 2 or Cesar Laurent et al, 2016: Batch Normalized Recurrent Neural Networks) until people managed to make it work (Tim Cooijmans et al, 2016: Recurrent Batch Normalization; specifically, initializing $\gamma = 0.1$ did the trick).

GRU



variance causes vanishing gradient.

(a) We visualize the gradient flow through a batch- (b) We show the empirical expected derivative and normalized tanh RNN as a function of γ . High interquartile range of tanh nonlinearity as a function of input variance. High variance causes saturation, which decreases the expected derivative.

Figure 1 of "Recurrent Batch Normalization", https://arxiv.org/abs/1603.09025

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RNNRegularization

Batch Normalization

Neuron value is normalized across the minibatch, and in case of CNN also across all positions.

Layer Normalization

toble se parotin' a RUN nijeasteji Mozi nepnanja pies batch, tabie se s his Rip pracije Neuron value is normalized across the layer.



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Layer Normalization



Consider a hidden value $\boldsymbol{x} \in \mathbb{R}^{D}$. Layer normalization (both during training and during inference) is performed as follows.

Inputs: An example $\boldsymbol{x} \in \mathbb{R}^{D}$, $\varepsilon \in \mathbb{R}$ with default value 0.001 Parameters: $\boldsymbol{\beta} \in \mathbb{R}^{D}$ initialized to $\boldsymbol{0}$, $\boldsymbol{\gamma} \in \mathbb{R}^{D}$ initialized to $\boldsymbol{1}$ Outputs: Normalized example \boldsymbol{y}

- $\mu \leftarrow rac{1}{D} \sum_{i=1}^{D} x_i$
- $\sigma^2 \leftarrow rac{1}{D} \sum_{i=1}^D (x_i \mu)^2$
- $\boldsymbol{\hat{x}} \leftarrow (\boldsymbol{x} \mu) / \sqrt{\sigma^2 + \varepsilon}$
- $oldsymbol{y} \leftarrow oldsymbol{\gamma} \odot oldsymbol{\hat{x}} + oldsymbol{eta}$

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Layer Normalization

Much more stable than batch normalization for RNN regularization.



Figure 2: Validation curves for the attentive reader model. BN results are taken from [Cooijmans et al., 2016].

Figure 2 of "Layer Normalization", https://arxiv.org/abs/1607.06450

	Weight matrix	Weight matrix	Weight vector	Dataset	Dataset	Single training case re-scaling	
	re-scaling	re-centering	re-scaling	re-scaling	re-centering		
Batch norm	Invariant	No	Invariant	Invariant	Invariant	No	
Weight norm	Invariant	No	Invariant	No	No	No	
Layer norm	Invariant	Invariant	No	Invariant	No	Invariant	

Table 1 of "Layer Normalization", https://arxiv.org/abs/1607.06450

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Layer Normalization

In an important recent architecture (namely Transformer), many fully connected layers are used, with a residual connection and a layer normalization.

Original "Post-LN" configuration Improved "Pre-LN" configuration since 2020



This could be considered an alternative to highway networks, i.e., a suitable residual connection for fully connected layers. Note the architecture can be considered as a variant of a mobile inverted bottleneck 1×1 convolution block.

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Basic RNN Architectures and Tasks

Sequence Element Representation



Create output for individual elements, for example for classification of the individual elements.



Sequence Representation

RNN

Generate a single output for the whole sequence (either the last output or the last state).

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Sequence Prediction

During training, predict next sequence element.



Multilayer RNNs

We might stack several layers of recurrent neural networks. Usually using two or three layers gives better results than just one.



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Multilayer RNNs

In case of multiple layers, residual connections usually improve results. Because dimensionality has to be the same, they are usually applied from the second layer.



Bidirectional RNN

To consider both the left and right contexts, a **bidirectional** RNN can be used, which consists of parallel application of a **forward** RNN and a **backward** RNN.



The outputs of both directions can be either **added** or **concatenated**. Even if adding them does not seem very intuitive, it does not increase dimensionality and therefore allows residual connections to be used in case of multilayer bidirectional RNN.

Word Embeddings

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We might represent **words** using one-hot encoding, considering all words to be independent of each other.

However, words are not independent – some are more similar than others.

Ideally, we would like some kind of similarity in the space of the word representations.

Distributed Representation

RNN

The idea behind distributed representation is that objects can be represented using a set of common underlying factors.

We therefore represent words as fixed-size **embeddings** into \mathbb{R}^d space, with the vector elements playing role of the common underlying factors.

These embeddings are initialized randomly and trained together with the rest of the network.

- freha "pes" bude veprezentanný polozhami jaho: "domící zvíře", "savec" ate... tecy nejalagmi obecnými abstalutními virtualními vlastno stani

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Word Embeddings

The word embedding layer is in fact just a fully connected layer on top of one-hot encoding. However, it is not implemented in that way.

Instead, the so-called **embedding** layer is used, which is much more efficient. When a matrix is multiplied by an one-hot encoded vector (all but one zeros and exactly one 1), the row corresponding to that 1 is selected, so the embedding layer can be implemented only as a simple lookup. In Keras, the embedding layer is available as

keras.layers.Embedding(input_dim, output_dim)

In PyTorch, it is available as

torch.nn.Embedding(input dim, output dim)

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Word Embeddings

Even if the embedding layer is just a fully connected layer on top of one-hot encoding, it is important that this layer is *shared* across the whole network.



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Word Embeddings for Unknown Words

Blby al je, ëe existyj son, a jsen pii trevingu Recurrent Character-level WEs

In order to handle words not seen during training, we could find a way to generate a representation from the word **characters**.

A possible way to compose the representation from individual characters is to use RNNs – we embed *characters* to get character representation, and then use an RNN to produce the representation of a whole *sequence of characters*.

Usually, both forward and backward directions are used, and the resulting representations are concatenated/added.

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Word Embeddings for Unknown Words

Convolutional Character-level WEs

Alternatively, 1D convolutions might be used.

Assume we use a 1D convolution with kernel size 3. It produces a representation for every input word trigram, but we need a representation of the whole word. To that end, we use *global* max-pooling – using it has an interpretable meaning, where the kernel is a *pattern* and the activation after the maximum is a level of a highest match of the pattern anywhere in the word.

Kernels of varying sizes are usually used (because it makes sense to have patterns for unigrams, bigrams, trigrams, ...) – for example, 25 filters for every kernel size (1, 2, 3, 4, 5) might be used.

Lastly, authors employed a highway layer after the convolutions, improving the results (compared to not using any layer or using a fully connected one).

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Examples of Recurrent Character-level WEs

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increased	John	Noahshire	phding	
reduced	Richard	Nottinghamshire	mixing	
improved	George	Bucharest	modelling	
expected	James	Saxony	styling	
decreased	Robert	Johannesburg	blaming	
targeted	Edward	Gloucestershire	christening	

Table 2: Most-similar in-vocabular words under the C2W model; the two query words on the left are in the training vocabulary, those on the right are nonce (invented) words.

Table 2 of "Finding Function in Form: Compositional Character Models for Open Vocabulary Word Representation", https://arxiv.org/abs/1508.02096

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Examples of Convolutional Character-level WEs



	In Vocabulary				Out-of-Vocabulary			
	while	his	you	richard	trading	computer-aided	misinformed	loooook
	although	your	conservatives	jonathan	advertised	_	_	_
LSTM-Word	letting	her	we	robert	advertising	_	_	_
LSTW-WORD	though	my	guys	neil	turnover	_	_	_
	minute	their	i	nancy	turnover	_	—	_
	chile	this	your	hard	heading	computer-guided	informed	look
LSTM-Char	whole	hhs	young	rich	training	computerized	performed	cook
(before highway)	meanwhile	is	four	richer	reading	disk-drive	transformed	looks
	white	has	youth	richter	leading	computer	inform	shook
	meanwhile	hhs	we	eduard	trade	computer-guided	informed	look
LSTM-Char	whole	this	your	gerard	training	computer-driven	performed	looks
(after highway)	though	their	doug	edward	traded	computerized	outperformed	looked
	nevertheless	your	i	carl	trader	computer	transformed	looking

Table 6: Nearest neighbor words (based on cosine similarity) of word representations from the large word-level and character-level (before and after highway layers) models trained on the PTB. Last three words are OOV words, and therefore they do not have representations in the word-level model.

Table 6 of "Character-Aware Neural Language Models", https://arxiv.org/abs/1508.06615

WE

CLE

NPFL138, Lecture 8

RNN LSTM

GRU

HighwayNetworks

RNNRegularization

Character-level WE Implementation



Training

- Generate unique words per batch.
- Process the unique words in the batch.
- Copy the resulting embeddings suitably in the batch.

Inference

• We can cache character-level word embeddings during inference.

GRU

WE

CLE

NLP Processing with CLEs





GRU

RNN

CLE

WE