NPFL138, Lecture 11



# Transformer, BERT, ViT

Milan Straka

i April 29, 2024 €





EUROPEAN UNION European Structural and Investment Fund Operational Programme Research, Development and Education Charles University in Prague Faculty of Mathematics and Physics Institute of Formal and Applied Linguistics



unless otherwise stated

### **Attention is All You Need**

<sup>Ú</sup>F<sub>A</sub>L

For some sequence processing tasks, *sequential* processing (as performed by recurrent neural networks) of its elements might be too restrictive.

Instead, we may want to be able to combine sequence elements independently on their distance.

Such processing is allowed in the **Transformer** architecture, originally proposed for neural machine translation in 2017 in *Attention is All You Need* paper.





NPFL138, Lecture 11

SelfAtt





4/54





http://jalammar.github.io/images/t/Transformer\_decoder.png

ViT

NPFL138, Lecture 11

SelfAtt PosEmbed

Training BERT

mBERT RoBERTa

One[]ToRuleThemAll





6/54

### **Transformer – Self-Attention**

Assume that we have a sequence of n words represented using a matrix  $oldsymbol{X} \in \mathbb{R}^{n imes d}$ .

The attention module for a queries  $Q \in \mathbb{R}^{n \times d_k}$ , keys  $K \in \mathbb{R}^{n \times d_k}$  and values  $V \in \mathbb{R}^{n \times d_v}$  is defined as:

$$ext{Attention}(oldsymbol{Q},oldsymbol{K},oldsymbol{V}) = ext{softmax}\left(rac{oldsymbol{Q}oldsymbol{K}^ op}{\sqrt{d_k}}
ight)oldsymbol{V}.$$

The queries, keys and values are computed from the input word representations  $oldsymbol{X}$  using a linear transformation as

$$oldsymbol{Q} = oldsymbol{X}oldsymbol{W}^Q$$
 $oldsymbol{K} = oldsymbol{X}oldsymbol{W}^K$ 
 $oldsymbol{V} = oldsymbol{X}oldsymbol{W}^V$ 

for trainable weight matrices  $oldsymbol{W}^Q,oldsymbol{W}^K\in\mathbb{R}^{d imes d_k}$  and  $oldsymbol{W}^V\in\mathbb{R}^{d imes d_v}.$ 

SelfAtt

Training BERT

mBERT RoBERTa

### **Transformer – Self-Attention**



V



http://jalammar.github.io/images/t/self-attention-matrix-calculation.png

NPFL138, Lecture 11

SelfAtt PosEmbed

BERT Training

mBERT

RoBERTa

### **Transformer – Multihead Attention**

Multihead attention is used in practice. Instead of using one huge attention, we split queries, keys and values to several groups (similar to how ResNeXt works), compute the attention in each of the groups separately, concatenate the results and multiply them by a matrix  $W^O$ .

Multi-Head Attention

Scaled Dot-Product Attention



### **Transformer – Multihead Attention**





5) Concatenate the resulting Z matrices, then multiply with weight matrix W<sup>O</sup> to produce the output of the layer

Wo

Z

ViT

http://jalammar.github.io/images/t/transformer\_multi-headed\_self-attention-recap.png

**RoBERTa** 

NPFL138, Lecture 11 SelfAtt

t PosEmbed

Training B

BERT mBERT

One∏ToRuleThemAll

10/54

### Why Attention



Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types. n is the sequence length, d is the representation dimension, k is the kernel size of convolutions and r the size of the neighborhood in restricted self-attention.

-	Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
-	Self-Attention	$O(n^2 \cdot d)$	O(1)	O(1)
1	Recurrent	$O(n \cdot d^2)$	O(n)	O(n)
/	Convolutional	$O(k \cdot n \cdot d^2)$	O(1)	$O(log_k(n))$
/	Self-Attention (restricted)	$O(r \cdot n \cdot d)$	O(1)	$O(n/r)^{-1}$
	d := (	limence attentions	Table 1 of "Atten	tion Is All You Need", https://arxiv.org/abs/1706.03762
, cp	und $n \leq d$ , the je self-attent	ion puhada a cas me talih	. netvipi	

NPFL138, Lecture 11

SelfAtt

Training

BERT

### **Transformer – Feed Forward Networks**

PosEmbed

### **Feed Forward Networks**

The self-attention is complemented with FFN layers, which is a fully connected ReLU layer with four times as many hidden units as inputs, followed by another fully connected layer without activation.



mBERT

RoBERTa

BERT

Training

Improved "Pre-LN" configuration since 2020

One[]ToRuleThemAll

ViT

NPFL138, Lecture 11 SelfAtt

### **Transformer – Pre-LN Configuration**

Transformer Encoder Layer

SelfAtt

PosEmbed

Training

BERT

mBERT

RoBERTa

One[]ToRuleThemAll

ViT

NPFL138, Lecture 11





L times

### **Transformer – Decoder**





NPFL138, Lecture 11

Training BERT

mBERT RoBERTa

One∏ToRuleThemAll

ViT

14/54

### Masked Self-Attention

During decoding, the self-attention must attend only to earlier positions in the output sequence.

This is achieved by **masking** future positions, i.e., zeroing their weights out, which is usually implemented by setting them to  $-\infty$  before the softmax calculation.

### **Encoder-Decoder Attention**

In the encoder-decoder attentions, the queries comes from the decoder, while the keys and the values originate from the encoder. Encoder muzic lanhat do budenensti -> repr. slow tah hude 2nhiset m lantexto obolo.



#### **Transformer – Positional Embedding**





### **Transformer – Positional Embeddings**

### Positional Embeddings

We need to encode positional information (which was implicit in RNNs).

- Learned embeddings for every position.
- Sinusoids of different frequencies:

SelfAtt

$$\mathrm{PE}_{(pos,2i)} = \sin\left(pos/10000^{2i/d}
ight) \ \mathrm{PE}_{(pos,2i+1)} = \cos\left(pos/10000^{2i/d}
ight)$$

This choice of functions should allow the model to attend to relative positions, since for any fixed k,  $\operatorname{PE}_{pos+k}$  is a linear function of  $\operatorname{PE}_{pos}$ , because  $\operatorname{Horiz}_{i}$  is  $\operatorname{Horiz}_{i}$  for  $\operatorname{Horiz}_{i}$  for  $\operatorname{Horiz}_{i}$  for  $\operatorname{Horiz}_{i}$  is  $\operatorname{Horiz}_{i}$  for  $\operatorname{Horiz}_{i}$  fo

NPFL138, Lecture 11

- bez toho je to invariantar na všelasz permatace vstupu

### **Transformer – Positional Embeddings**





18/54

### **Transformer – Positional Embeddings**





19/54



Ú FAL

### **Transformer – Training**

### Regularization

The network is regularized by:

- dropout of input embeddings,
- dropout of each sub-layer, just before it is added to the residual connection (and then normalized),
- label smoothing.

Default dropout rate and also label smoothing weight is 0.1.

### **Parallel Execution**

Because of the *masked attention*, training can be performed in parallel.

However, inference is still sequential.



### **Transformer – Training**

### Optimizer

Adam optimizer (with  $\beta_2 = 0.98$ , smaller than the default value of 0.999) is used during training, with the learning rate decreasing proportionally to inverse square root of the step number.

### Warmup

NPFL138, Lecture 11

Furthermore, during the first *warmup\_steps* updates, the learning rate is increased linearly from zero to its target value.

$$learning\_rate = rac{1}{\sqrt{d_{ ext{model}}}} \min\left(rac{1}{\sqrt{step\_num}}, rac{step\_num}{warmup\_steps} \cdot rac{1}{\sqrt{warmup\_steps}}
ight)$$

In the original paper, 4000 warmup steps were proposed.

PosEmbed

SelfAtt



### **Transformers Results**

Ú F<sub>A</sub>L

Table 2: The Transformer achieves better BLEU scores than previous state-of-the-art models on the English-to-German and English-to-French newstest2014 tests at a fraction of the training cost.

Madal	BL	EU	Training Cost (FLOPs)		
Model	EN-DE	EN-FR	EN-DE	EN-FR	
ByteNet [18]	23.75				
Deep-Att + PosUnk [39]		39.2		$1.0\cdot10^{20}$	
GNMT + RL [38]	24.6	39.92	$2.3\cdot 10^{19}$	$1.4 \cdot 10^{20}$	
ConvS2S [9]	25.16	40.46	$9.6\cdot 10^{18}$	$1.5\cdot10^{20}$	
MoE [32]	26.03	40.56	$2.0\cdot10^{19}$	$1.2\cdot 10^{20}$	
Deep-Att + PosUnk Ensemble [39]		40.4		$8.0 \cdot 10^{20}$	
GNMT + RL Ensemble [38]	26.30	41.16	$1.8\cdot 10^{20}$	$1.1 \cdot 10^{21}$	
ConvS2S Ensemble [9]	26.36	41.29	$7.7\cdot 10^{19}$	$1.2 \cdot 10^{21}$	
Transformer (base model)	27.3	38.1	3.3 •	$10^{18}$	
Transformer (big)	28.4	41.8	$2.3 \cdot$	$10^{19}$	

Table 2 of "Attention Is All You Need", https://arxiv.org/abs/1706.03762

ViT

Wordpieces were constructed using BPE with a shared vocabulary of about 37k tokens.

Training BERT

mBERT

RoBERTa

**Transformers Ablations on En→De newtest2014 Dev** 

					hlam	din.				1	vloss			
		N	d	$d_{ m ff}$	h	hland	$d_v$	$P_{drop}$	6	train	PPL	BLEU	params	
		1 1	$d_{\text{model}}$	$u_{\mathrm{ff}}$	$\mathcal{H}$	$u_{\mathbf{k}}$	$u_v$	1 drop	$\epsilon_{ls}$	steps	(dev)	(dev)	$ imes 10^{6}$	
	base	6	512	2048	8	64	64	0.1	0.1	100K	4.92	25.8	65	le de
					1	512	512				5.29	24.9		->,, phunévnú si Ugblinin 2 4,92 Slov "
	(A)				4	128	128				5.00	25.5		
	( <b>11</b> )				16	32	32				4.91	25.8		Mysimm 2 4,92
					32	16	16				5.01	25.4		
	(B)					16					5.16	25.1	58	Yov.
	( <b>D</b> )					32					5.01	25.4	60	$\sim$
		2									6.11	23.7	36	
		4									5.19	25.3	50	-turne tim
		8	256			22	22				4.88	25.5	80 20	time failing in a
	(C)		256			32	32				5.75	24.5	28	usch (100 misto,
			1024	1024		128	128				4.66 5.12	26.0 25.4	168 - 53	hald - 1 and -
				4096							4.75	26.2	<u> </u>	_ Justine to 200511c
				4090				0.0			5.77	24.6	90	model de ide to
								0.0			4.95	24.0	Contin	
	(D)							0.2	0.0		4.93	25.3 ·	/ Uner fit	No.
čím versí model,									0.0		5.47	25.7		
tím potřebujn víc vegularizom t P	(E)		posi	tional er	nbeda	ling ins	stead o	f sinu <u>s</u> oi			4.92	25.7		
	big	6	1024	4096	16			0.3		300K	4.33	26.4	213	
vie regularizou t	018	0	1021	1070	10		Tal		ention Is				abs/1706.03762	
										$\mathbf{T}(\mathbf{D})$				
The PPL is <i>p</i>	erple>	kity	per wo	ordpie	ce, v	where	e per	plexity	/ is $\epsilon$	$\mathcal{H}(P)$	, i.e., e	e <sup>loss</sup> ir	n our ca	se.
NPFL138, Lecture 11			PosEmb		raining		ERT	mBERT		oBERTa		oRuleThen		,



### Main Takeaway

Generally, Transformer provides more powerful sequence-to-sequence architecture and also sequence element representation architecture than RNNs, but requires **substantially more** data.

### **3D Visualization of a Decoder-only Model**

On <u>https://bbycroft.net/llm</u> you can find a 3D visualization with the description of the Transformer computation steps of several GPT models. The GPT models are language models (they estimate conditional probability of a word given its previous context), and therefore consist purely of the decoder part of a Transformer (so they do not contain neither an encoder nor encoder-decoder attention; consequently, all their self-attentions are masked).

Training BERT

mBERT RoBERTa

#### BERT



A year later after ELMo, at the end of 2018, a new model called BERT (standing for **B**idirectional **E**ncoder **R**epresentations from **T**ransformers) was proposed. It is nowadays one of the most dominating approaches for pre-training word embeddings and for paragraph and document representations.



https://www.sesameworkshop.org/sites/default/files/imageservicecache/2019-03/header5120x1620\_50thanniversary.png/4b00e17bb509f5c630c57c318b37d0da.webp

NPFL138, Lecture 11

SelfAtt PosEmbed

Training BERT

mBERT RoBERTa

One[]ToRuleThemAll

### **BERT**



The BERT model computes contextualized representations using a bidirectional Transformer architecture.



Figure 3 of "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", https://arxiv.org/abs/1810.04805

mBERT RoBERTa



The baseline BERT base model consists of 12 Transformer layers:



http://jalammar.github.io/images/bert-encoders-input.png

The bidirectionality is important, but it makes training difficult.

mBERT RoBERTa

### **BERT** Input



The input of the BERT model is a sequence of subwords, namely their identifiers. This input represents two so-called *sentences*, but they are in fact pieces of text with hundreds of subwords (512 maximum in total). The first token is a special CLS token and every sentence is ended by a SEP token.



Figure 2 of "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", https://arxiv.org/abs/1810.04805

Every subword representation is a sum of:

• trainable subword embeddings,

SelfAtt

- trainable positional embeddings (not the sinusoidal embeddings, but I do not know why),
- trainable segment embeddings, which indicate if a token belongs to a sentence A (inclusively up to its SEP token) or to sentence B.

NPFL138, Lecture 11

PosEmbed Training

BERT r

mBERT RoBERTa One ToRule Them All

### **BERT** Pretraining

The BERT model is pretrained using two objectives:

- masked language model 15% of the input words are masked, and the model tries to predict them (using a head consisting of a fully connected layer with softmax activation); Any model , Mariel promost "
  - 80% of them are replaced by a special MASK token;
  - $\circ$  10% of them are replaced by a random word;
  - 10% of them are left intact. -> specialni pub len mode
- next sentence prediction the model tries to predict whether the second sentence followed the first one in the raw corpus (using a head that on top of the CLS output adds a fully connected layer with tanh activation (pooler), followed by a softmax-activated fully connected layer with two outputs).
   50% of the time the second sentence is the actual next sentence;
   50% of the time the second contonce is a random contonce from the second sentence is a random sentence sentence is



Pre-training

Figure 1 of "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", https://arxiv.org/abs/1810.04805

ViT

 $^{\circ}$  50% of the time the second sentence is a random sentence from the corpus.

NPFL138, Lecture 11

Training BERT

mBERT RoBERTa

### **BERT** Pretraining

For pre-training, English BookCorpus (800M words) and Wikipedia (2,500M words) are used, with a 30k WordPieces vocabulary.

Batch size is 256 sequences, each 512 subwords, giving 128k tokens per batch. Adam with learning rate 1e-4 is used, with linear learning rate warmup for the first 10k steps, followed by a linear learning rate decay to 0. Standard momentum parameters are used, and  $L^2$  weight decay of 0.01 is utilized.

Dropout of 0.1 on all layers is used, and GELU activation is used instead of ReLU.

Furthermore, because longer sequences are guadratically more expensive, first 90% of the pretraining is performed on sequences of length 128, and only the last 10% use sequences of length 512.

Two variants are considered:

- BERT base with 12 layers, 12 attention heads and hidden size 768 (110M parameters),
- BERT *large* with 24 layers, 16 attention heads and hidden size 1024 (340M parameters).

NPFL138, Lecture 11

### **BERT – GELU**

Ú FAL

ReLU multiplies the input by zero or one, depending on its value.

Dropout stochastically multiplies the input by zero or one.

Both these functionalities are merged in Gaussian error linear units (GELUs), where the input value is multiplied by  $m \sim \operatorname{Bernoulli}(\Phi(x))$ , where  $\Phi(x) = P(x' \leq x)$  for  $x' \sim \mathcal{N}(0,1)$  is the cumulative density function of the standard normal distribution.

The GELUs compute the expectation of this value, i.e.,

$$\operatorname{GELU}(x) = x \cdot \Phi(x) + 0 \cdot ig(1 - \Phi(x)ig) = x \Phi(x).$$

GELUs can be approximated using (no need to remember this):



Figure 1: The GELU ( $\mu = 0, \sigma = 1$ ), ReLU, and ELU ( $\alpha = 1$ ).

Figure 1 of "Gaussian Error Linear Units (GELUs)", https://arxiv.org/abs/1606.08415

ViT

$$0.5x\left(1+ anh\left[\sqrt{2/\pi}(x+0.044715x^3)
ight]
ight) ~~{
m or}~~x\sigma(1.702x).$$

NPFL138, Lecture 11

PosEmbed Training

SelfAtt

g BERT

mBERT RoBERTa

### **BERT** – Finetuning

The pre-trained BERT model can be finetuned on a range of tasks:

- sentence element representation
  - $^{\circ}$  PoS tagging
  - $^{\circ}\,$  named entity recognition

0

...

- sentence representation
  - $^{\circ}$  text classification
- sentence relation representation
  - textual entailment, aka natural language inference (the second sentence is *implied by/contradicts/has no relation to* the first sentence)
  - textual similarity
  - $^{\circ}$  paraphrase detection
  - natural language inference









Question Answering Tasks: SQuAD v1.1 (d) Single Sentence Tagging Tasks: CoNLL-2003 NER

ViT

Figure 4 of "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", https://arxiv.org/abs/1810.04805

mBERT RoBERTa

### **BERT** – **Results**



34/54

For finetuning, dropout 0.1 is used, usually very small number of epochs (2-4) suffice, and a good learning rate is usually one of 5e-5, 3e-5, 2e-5.

System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	-
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.8	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	87.4	91.3	45.4	80.0	82.3	56.0	75.1
BERT <sub>BASE</sub>	84.6/83.4	71.2	90.5	93.5	52.1	85.8	88.9	66.4	79.6
BERTLARGE	86.7/85.9	72.1	92.7	94.9	60.5	86.5	89.3	70.1	82.1

Table 1: GLUE Test results, scored by the evaluation server (https://gluebenchmark.com/leaderboard). The number below each task denotes the number of training examples. The "Average" column is slightly different than the official GLUE score, since we exclude the problematic WNLI set.<sup>8</sup> BERT and OpenAI GPT are single-model, single task. F1 scores are reported for QQP and MRPC, Spearman correlations are reported for STS-B, and accuracy scores are reported for the other tasks. We exclude entries that use BERT as one of their components.

### **BERT** – Results



System	D	ev	Test		
·	EM	F1	EM	F1	
Top Leaderboard System	s (Dec	10th,	2018)		
Human	-	-	82.3	91.2	
#1 Ensemble - nlnet	-	-	86.0	91.7	
#2 Ensemble - QANet	-	-	84.5	90.5	
Publishe	ed				
BiDAF+ELMo (Single)	-	85.6	-	85.8	
R.M. Reader (Ensemble)	81.2	87.9	82.3	88.5	
Ours					
BERT <sub>BASE</sub> (Single)	80.8	88.5	-	-	
BERT <sub>LARGE</sub> (Single)	84.1	90.9	-	-	
BERT <sub>LARGE</sub> (Ensemble)	85.8	91.8	-	-	
BERT <sub>LARGE</sub> (Sgl.+TriviaQA)	84.2	91.1	85.1	91.8	
BERT <sub>LARGE</sub> (Ens.+TriviaQA)	86.2	92.2	87.4	93.2	

System	D	ev	Test		
•	EM	F1	EM	F1	
Top Leaderboard Systems	(Dec	10th, 1	2018)		
Human	86.3	89.0	86.9	89.5	
#1 Single - MIR-MRC (F-Net)	-	-	74.8	78.0	
#2 Single - nlnet	-	-	74.2	77.1	
Published	1				
unet (Ensemble)	-	-	71.4	74.9	
SLQA+ (Single)	-		71.4	74.4	
Ours					
BERT <sub>LARGE</sub> (Single)	78.7	81.9	80.0	83.1	

System	Dev	Test
ESIM+GloVe	51.9	52.7
ESIM+ELMo	59.1	59.2
OpenAI GPT	-	78.0
BERT <sub>BASE</sub>	81.6	-
BERTLARGE	86.6	86.3
DERTLARGE		
Human (expert) <sup>†</sup>	-	85.0

Table 4: SWAG Dev and Test accuracies. <sup>†</sup>Human performance is measured with 100 samples, as reported in

Table 3: SQuAD 2.0 results. We exclude entries that the SWAG paper.

Table 2: SQuAD 1.1 results. The BERT ensembleuse BERT as one of their components. is 7x systems which use different pre-training checkpoints and fine-tuning seeds.

Table 2 of "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", https://arxiv.org/abs/1810.04805 Table 3 of "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", https://arxiv.org/abs/1810.04805

Table 4 of "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", https://arxiv.org/abs/1810.04805

ViT

NPFL138, Lecture 11

SelfAtt PosEmbed

BERT Training

mBERT

RoBERTa

### **BERT** – Ablations





Ma	sking Ra	ates	Dev Set Results					
Mask	SAME	RND	MNLI Fine-tune	_	NER Feature-based			
80%	10%	10%	84.2	95.4	94.9			
100%	0%	0%	84.3	94.9	94.0			
80%	0%	20%	84.1	95.2	94.6			
80%	20%	0%	84.4	95.2	94.7			
0%	20%	80%	83.7	94.8	94.6			
0%	0%	100%	83.6	94.9	94.6			

Figure 5: Ablation over number of training steps. This shows the MNLI accuracy after fine-tuning, starting from model parameters that have been pre-trained for k steps. The x-axis is the value of k.

Figure 5 of "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", https://arxiv.org/abs/1810.04805

## Table 8: Ablation over different masking strategies. Table 8 of "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", https://arxiv.org/abs/1810.04805

ViT

SelfAtt

BERT Training

mBERT RoBERTa
# **Multilingual BERT**



The Multilingual BERT is pre-trained on 102-104 largest Wikipedias, including the Czech one.

There are two versions, the *cased* one has WordPieces including case, and the *uncased* one with subwords all in lower case and *without diacritics*.

Even if only very small percentage of input sentences were Czech, it works surprisingly well for Czech NLP.

Furthermore, without any explicit supervision, mBERT is able to represent the input languages in a *shared* space, allowing cross-lingual transfer.

Havne to slow v jugin jarge repr. újenning podobných veletori vo Talize hocha a llatze we fallt blizho...

# **Cross-lingual Transfer with Multilingual BERT**

Consider a *reading comprehension* task, where for a given paragraph and a question an answer needs to be located in the paragraph.

Then training the model in English and then directly running it on a different language works comparably to translating the data to English and then back.

Liboro	Ina	n uldha	n tech	jsem	schoping	resit
ĩ	V	jingeh	(104)	jarycic	h	

SelfAtt



https://arxiv.org/abs/1910.07475

ViT

F1 / EM	en	es	de	ar	hi	vi	zh
BERT-Large	<b>80.2 / 67.4</b>	-	-	-	-	-	-
Multilingual-BERT	77.7 / 65.2	64.3 / 46.6	57.9 / 44.3	45.7 / 29.8	43.8 / 29.7	57.1 / 38.6	57.5 / 37.3
XLM	74.9 / 62.4	<b>68.0 / 49.8</b>	<b>62.2 / 47.6</b>	<b>54.8 / 36.3</b>	48.8 / 27.3	61.4 / 41.8	61.1 / <b>39.6</b>
Translate test, BERT-L	-	65.4 / 44.0	57.9 / 41.8	33.6 / 20.4	23.8/18.9*	58.2 / 33.2	44.2 / 20.3
Translate train, M-BERT	-	53.9 / 37.4	62.0 / 47.5	51.8 / 33.2	<b>55.0/40.0</b>	62.0 / 43.1	<b>61.4</b> / 39.5
Translate train, XLM	-	65.2 / 47.8	61.4 / 46.7	54.0 / 34.4	50.7/33.4	59.3 / 39.4	59.8 / 37.9

Table 5 of "MLQA: Evaluating Cross-lingual Extractive Question Answering", https://arxiv.org/abs/1910.07475

Training BERT

# **RoBERTa** – **NSP**

The *next sentence prediction* was originally hypothesized to be an important factor during training of the BERT model, as indicated by ablation experiments. However, later experiments indicated removing it might improve results.

The RoBERTa authors therefore performed the following experiments:

- SEGMENT-PAIR: pair of segments with at most 512 tokens in total;
- SENTENCE-PAIR: pair of *natural sentences*, usually significantly shorter than 512 tokens;
- FULL-SENTENCES: just one segment on input with 512 tokens, can cross document boundary;
- DOC-SENTENCES: just one segment on input with 512 tokens, cannot cross document boundary.

Model	SQuAD 1.1/2.0	MNLI-m	SST-2	RACE			
Our reimplementation	on (with NSP loss):						
SEGMENT-PAIR	90.4/78.7	84.0	92.9	64.2			
SENTENCE-PAIR	88.7/76.2	82.9	92.1	63.0			
Our reimplementation (without NSP loss):							
FULL-SENTENCES	90.4/79.1	84.7	92.5	64.8			
DOC-SENTENCES	90.6/79.7	84.7	92.7	65.6			
BERT <sub>BASE</sub>	88.5/76.3	84.3	92.8	64.3			
$XLNet_{BASE} (K = 7)$	-/81.3	85.8	92.7	66.1			
$XLNet_{BASE} (K = 6)$	-/81.0	85.6	93.4	66.7			

Table 2 of "RoBERTa: A Robustly Optimized BERT Pretraining Approach", https://arxiv.org/abs/1907.11692

ViT

Training BERT

mBERT RoBERTa

## **RoBERTa – Larger Batches**

BERT is trained for 1M steps with a learning rate of 1e-4.

The RoBERTa authors also considered larger batches (with linearly larger learning rate).

MNLI-m SST-2
84.7 92.7
85.2 92.9
84.6 92.8
)

Table 3: Perplexity on held-out training data (*ppl*) and development set accuracy for base models trained over BOOKCORPUS and WIKIPEDIA with varying batch sizes (*bsz*). We tune the learning rate (*lr*) for each setting. Models make the same number of passes over the data (epochs) and have the same computational cost.

NPFL138, Lecture 11

function (méni lushů), proto avětším (lineárně) leanning - rate

cim votsi batch size, him méné

dojen no loss

40/54

## **RoBERT**a



The RoBERTa model, **R**obustly **o**ptimized **BERT a**pproach, is trained with dynamic masking, FULL-SENTENCES without NSP, large 8k minibatches and byte-level BPE with 50k subwords.

Model	data	bsz	steps	<b>SQuAD</b> (v1.1/2.0)	MNLI-m	SST-2
RoBERTa						
with BOOKS + WIKI	16GB	8K	100K	93.6/87.3	89.0	95.3
+ additional data ( $\S3.2$ )	160GB	8K	100K	94.0/87.7	89.3	95.6
+ pretrain longer	160GB	8K	300K	94.4/88.7	90.0	96.1
+ pretrain even longer	160GB	8K	500K	94.6/89.4	90.2	96.4
BERT <sub>LARGE</sub>						
with BOOKS + WIKI	13GB	256	1 <b>M</b>	90.9/81.8	86.6	93.7
XLNet <sub>LARGE</sub>						
with BOOKS + WIKI	13GB	256	1 <b>M</b>	94.0/87.8	88.4	94.4
+ additional data	126GB	2K	500K	94.5/88.8	89.8	95.6

Table 4: Development set results for RoBERTa as we pretrain over more data (16GB  $\rightarrow$  160GB of text) and pretrain for longer (100K  $\rightarrow$  300K  $\rightarrow$  500K steps). Each row accumulates improvements from the rows above. RoBERTa matches the architecture and training objective of BERT<sub>LARGE</sub>. Results for BERT<sub>LARGE</sub> and XLNet<sub>LARGE</sub> are from Devlin et al. (2019) and Yang et al. (2019), respectively. Complete results on all GLUE tasks can be found in the Appendix.

Table 4 of "RoBERTa: A Robustly Optimized BERT Pretraining Approach", https://arxiv.org/abs/1907.11692

PosEmbed Training

SelfAtt



											Model	<b>SQu</b> ∕ EM	<b>D 1.1</b> F1	<b>SQu</b> EM	AD 2.0 F1
	MNLI	QNLI	QQP	RTE	SST	MRPC	CoLA	STS	WNLI	Avg	Single models BERT <sub>LARGE</sub>	84.1	90.9	79.0	81.8
Single-task si	ngle models	on dev									XLNet <sub>LARGE</sub> RoBERTa	<b>89.0</b> 88.9	94.5 <b>94.6</b>	86.1 <b>86.5</b>	88.8 <b>89.4</b>
BERTLARGE	86.6/-	92.3	91.3	70.4	93.2	88.0	60.6	90.0	-	-					
XLNet <sub>LARGE</sub>	89.8/-	93.9	91.8	83.8	95.6	89.2	63.6	91.8	-	-	Single models	s on tes	t (as of .		<i>,</i> ,
RoBERTa	90.2/90.2	94.7	92.2	86.6	96.4	90.9	68.0	92.4	91.3	-	XLNet <sub>LARGE</sub> RoBERTa			86.3† 86.8	89.1 <sup>†</sup> 89.8
Ensembles on	n test (from l	eaderboa	rd as of	July 25,	2019)						XLNet + SG-	Net Ve	rifier	<b>87.0</b> <sup>†</sup>	<b>89.9</b> †
ALICE	88.2/87.9	95.7	90.7	83.5	95.2	92.6	68.6	91.1	80.8	86.3	Table 6 of "Ro	BERTa:			
MT-DNN	87.9/87.4	96.0	89.9	86.3	96.5	92.7	68.4	91.1	89.0	87.6		htt			, "Approach 2/1907.11692
XLNet	90.2/89.8	98.6	90.3	86.3	96.8	93.0	67.8	91.6	90.4	88.4					
RoBERTa	90.8/90.2	98.9	90.2	88.2	96.7	92.3	67.8	92.2	89.0	88.5					

Table 5: Results on GLUE. All results are based on a 24-layer architecture.  $BERT_{LARGE}$  and  $XLNet_{LARGE}$  results are from Devlin et al. (2019) and Yang et al. (2019), respectively. RoBERTa results on the development set are a median over five runs. RoBERTa results on the test set are ensembles of *single-task* models. For RTE, STS and MRPC we finetune starting from the MNLI model instead of the baseline pretrained model. Averages are obtained from the GLUE leaderboard.

Table 5 of "RoBERTa: A Robustly Optimized BERT Pretraining Approach", https://arxiv.org/abs/1907.11692

Single models on test (as of July 25, 2019)								
BERT <sub>LARGE</sub>	72.0	76.6	70.1					
$XLNet_{LARGE}$	81.7	85.4	80.2					
RoBERTa	83.2	86.5	81.3					

Accuracy

Table 7: Results on the RACE test set. BERT<sub>LARGE</sub> and XLNet<sub>LARGE</sub> results are from Yang et al. (2019). Table 7 of "RoBERTa: A Robustly Optimized BERT Pretraining Approach", https://arxiv.org/abs/1907.11692

ViT

NPFL138, Lecture 11

SelfAtt PosEmbed

Training BERT

mBERT

Model

Middle High

# **One Transformer to Rule Them All**

The Transformer architecture has been spreading and has become almost omnipresent in tasks where data is available in abundance, in which case it usually delivers superior performance.

# Large Language Models

SelfAtt

- GPT: Transformer decoder-only model, 2018, ~150M parameters
- GPT-2: Transformer decoder-only model, 2019, ~1.5B parameters
- GPT-3: Transformer decoder-only model, May 2020, ~175B parameters
- GPT-4: Transformer decoder-only model, March 2023, ???; \$100M
- Gopher: Transformer decoder-only model, Dec 2021, ~280B parameters
- XGLM: multilingual Transformer decoder-only model, Dec 2021, ~7.5B parameters
  30 languages from 16 language families
- Megatron-Turing NLP: Transformer decoder-only model, Jan 2022,  ${\sim}530B$  parameters  $^{\circ}$  trained using 2240 A100
- PaLM: Transformer decoder-only model, Apr 2022, ~580B parameters
  ° trained using 6144 TPUv4; 22% training data non-English

One[]ToRuleThemAll



#### **PaLM Jokes Explanations**



#### **Explaining a Joke**

I will explain these jokes: (1) The problem with kleptomaniacs is that they always take things literally. Explanation: This joke is wordplay. Someone who "takes things literally" is someone who doesn't fully understand social cues and context, which is a negative trait. But the definition of kleptomania is someone who literally takes things. (2) Always borrow money from a pessimist. They'll never expect it back. Explanation: Most people expect you to pay them back when you borrow money, however a pessimist is someone who always assumes the worst, so if you borrow money from them, they will expect that you won't pay them back anyways. **Input:** I think I understand why me and my partner are such a good match. Input: I was supposed to start writing the paper at 5:00 PM. But then I I get excited when I smell food and become super alert when the started playing with this cool new language model for 10 minutes. 10 doorbell rings. My girlfriend Shelley becomes ecstatic when she sees a minutes later, it's suddenly 9:30 PM! person who she just saw 5 hours ago. **Model Output:** This joke is about how time flies when you're having fun. Model Output: This joke is about dogs. Dogs get excited when they smell The person was supposed to start writing a paper at 5:00 PM, but they food and when the doorbell rings. The girlfriend is excited to see started playing with a language model instead. They thought they were someone she just saw 5 hours ago, which is a trait of dogs. only plaving with it for 10 minutes, but it was actually 4.5 hours. **Input:** I was going to fly to visit my family on April 6th. My mom said **Input:** I tried 10,000 random restarts of my neural network, but I was "Oh great, your step dad's poetry reading is that night!" So now I'm accused of overfitting. I guess no good seed goes unpunished. **Model Output**: This joke is a pun. A neural network is a computer flving in on April 7th. **Model Output:** The joke is that the speaker's mother is trying to get program that can learn from data. A "seed" is a number that is used to them to go to their step dad's poetry reading, but the speaker doesn't initialize a random number generator. A "good seed" is a number that produces a good random number generator. The phrase "no good deed goes want to go, so they are changing their flight to the day after the unpunished" means that if you do something good, you will be punished poetry reading. for it. Input: Reading "War and Peace" doesn't scare me. I once had to review the Input: What's the difference between a zebra and an umbrella? One is a CL for my intern's whole project on his final day! striped animal related to horses, another is a device you use to stop Model Output: 'War and Peace' is a very long book, so reading it is a the rain from falling on you. daunting task. However, the speaker is saying they had to review the **Model Output:** This joke is an anti-joke. The joke is that the answer code for their intern's project on their final day, which is even more is obvious, and the joke is that you were expecting a funny answer. daunting.

Figure 19 of "PaLM: Scaling Language Modeling with Pathways", https://arxiv.org/abs/2204.02311

One∏ToRuleThemAll

SelfAtt PosEmbed

BERT Training

mBERT RoBERTa ViT

# Langauge Models and Instruction Finetuning

Ú F<sub>A</sub>L

There are nowadays many large language models of various sizes, some of them open-source:

- Llama 1, 2, 3: by Meta, publicly available
- Mistral, Mixtral: by Mistral AI (founded in France), some models available
- Claude 1, 2, 3: by Anthropic
- Gemma and Gemini: by Alphabet; previously PaLM and LaMDA
- BLOOM, OPT, Falcon, ...

See the Large Language Models course <u>https://ufal.mff.cuni.cz/courses/npfl140</u> if you want to know more.

SelfAtt

# **Pre-trained Encoder-Decoder Models**

Ú F<sub>A</sub>L

Various pre-trained encoder-decoder models are available:

• BART, 2019, ~200M parameters

SelfAtt

- T5, Oct 2019, up to  $\sim 11B$  parameters
  - REALM, Feb 2020, ~330M, uses explicit retrieval from a large knowledge base



Figure 1 of "REALM: Retrieval-Augmented Language Model Pre-Training", https://arxiv.org/pdf/2002.05202.pdf

- mT5, Oct 2020, ~100 languages, up to ~13B parameters
  o sizes small (300M), base (582M), large (1.23B), xl (3.74B), xxl (12.9B)
- ByT5, May 2021, byte-based,  $\sim 100$  languages, same sizes as mT5

NPFL138, Lecture 11

PosEmbed Training

BERT

mBERT RoBERTa

One[]ToRuleThemAll

ViT

# **Instruction Following aka Chatbots**

The large language models can be finetuned for conversational interactions, which is called **instruction finetuning**.

The original approach was to use the so-called Reinforcement Learning from Human Feedback (RLHF); lately, other approaches like DPO have appeared.

- ChatGPT: OpenAI; together with DeepMins the creator of RLHF
- Gemini: Alphabet
- Claude 3
- Llama 3 Instruct
- Command R+: by Cohere; current best open-source model (as of April 26, 2024, according to <u>https://huggingface.co/spaces/Imsys/chatbot-arena-leaderboard</u>)

NPFL138, Lecture 11

Training BERT



Figure 2 of "Training language models to follow instructions with human feedback", https://arxiv.org/abs/2203.02155



In Oct 2020, an influential paper

SelfAtt

PosEmbed

An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale

proposed processing of images using ViT a variant of the Transformers architecture (Visual **T**ransformer, a Pre-LN Transformer with GELU activations):



mBERT

RoBERTa

BERT

Training

NPFL138, Lecture 11

48/54

ViT

One∏ToRuleThemAll



Model	Layers	Hidden size D	MLP size	Heads	Params
ViT-Base ViT-Large	12 24	768 1024	3072 4096	12 16	86M 307M
ViT-Huge	32	1280	5120	16	632M

Table 1 of "An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale", https://arxiv.org/abs/2010.11929

The ViT architecture surpasses convolutional models like EfficientNet when pre-trained on very large data (~300M images); however, training only on ImageNet1k delivered worse results (77.9% top-1 accuracy).

llouvoluer ut sun queb. obsultanje nejalaé predpoklady, a se mus transformer cele muit. - Royvolner Film, in Strei

Linear 5-shot ImageNet Top1 [%] Accuracy [%] ImageNet Top1 A ViT-L/32 ViT-B/32 ViT-L/16 ◆ ViT-L/16 ◆ ViT-B/32 ViT-B/16 ViT-H/14 ImageNet ImageNet-21k JFT-300M 10 M Pre-training dataset Number of JFT pre-training samples

Figure 3: Transfer to ImageNet. While large ViT models perform worse than BiT ResNets (shaded area) when pre-trained on small datasets, they shine when pre-trained on larger datasets. Similarly, larger ViT variants overtake smaller ones as the dataset grows.

Figure 4: Linear few-shot evaluation on ImageNet versus pre-training size. ResNets perform better with smaller pre-training datasets but plateau sooner than ViT, which performs better with larger pre-training. ViT-b is ViT-B with all hidden dimensions halved.

30 M

-ResNet50x1 (BiT)

--- ResNet152x2 (BiT)

300 M

100 M

Figures 3 and 4 of "An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale", https://arxiv.org/abs/2010.11929

NPFL138, Lecture 11

se stejunni vahami

houlant un segmentz obrichen

SelfAtt BERT RoBERTa One∏ToRuleThemAll ViT PosEmbed Training mBERT

Trainable 1D positional embeddings are used.

The authors consider also 2D positional embeddings, which are a concatenation of trainable 1D positional embeddings for each

Pos. Emb.	Default/Stem	Every Layer	Every Layer-Shared
No Pos. Emb.	0.61382	N/A	N/A
1-D Pos. Emb.	0.64206	0.63964	0.64292
2-D Pos. Emb.	0.64001	0.64046	0.64022
Rel. Pos. Emb.	0.64032	N/A	N/A

Table 8: Results of the ablation study on positional embeddings with ViT-B/16 model evaluated on ImageNet 5-shot linear.

Table 8 of "An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale", https://arxiv.org/abs/2010.11929

dimension, but the results are very comparable.

# DeiT

An improved training with a variety of augmentation (DeiT architecture, Dec 2020) resulted in performance close to EfficientNet when trained only on ImageNet1k data (83.1% vs 84.7% top-1 accuracy).

DeiT III: Revenge of the ViT (Apr 2022) has presented simplified training procedure, achieving results analogous to EfficientNetV2 on ImageNet1k (85.2% vs 85.7% top-1 accuracy) and ImageNet21k (87.2% vs 87.3% top-1 accuracy).

When data is limited ("only" 1M images), the best approach to train a ViT seems to be a BERT-like masking, which was proposed in Nov 2021 paper

Masked Autoencoders Are Scalable Vision Learners.



https://arxiv.org/abs/2111.06377

This MAE architecture reaches 86.9% top-1 accuracy on ImageNet1k-only training on images of size 224, and 87.8% on images of size 448.

<sup>Ú</sup>F<sub>A</sub>L

As of April 2024, the current second best model on ImageNet is BASIC-L trained with Lion optimizer. The image encoder in BASIC-L is CoAtNet-7, an architecture combining MBConv in first stages and relative pre-activated 2D self-attention in later stages, with GELU activations everywhere. The image encoder has 2.4B parameters, it is trained on 6.6B noisy image-text pairs using a batch size of 65536 images in  $\sim$ 7k TPUv4/days, and achieves 91.1% top-1 accuracy.

It also achieves 88.3% zero-shot accuracy on ImageNet, i.e., when no ImageNet training data is used for training nor finetuning.

The current best model OmniVec pre-trains a model processing multiple modalities (images, depth maps, videos, 3D point clouds, audio, text) using domain-specific Transformer-based encoders and then processed by shared Transformer layers. Masked pretraining similar to MAE is employed (reaching 88.6% on ImageNet), and the model can be finetuned on individual datasets afterwards (92.4% top-1 ImageNet accuracy).

NPFL138, Lecture 11

# **Object Detection with Transformers**



Fig. 2: DETR uses a conventional CNN backbone to learn a 2D representation of an input image. The model flattens it and supplements it with a positional encoding before passing it into a transformer encoder. A transformer decoder then takes as input a small fixed number of learned positional embeddings, which we call *object queries*, and additionally attends to the encoder output. We pass each output embedding of the decoder to a shared feed forward network (FFN) that predicts either a detection (class and bounding box) or a "no object" class.

Figure 2 of "End-to-End Object Detection with Transformers", https://arxiv.org/pdf/2005.12872.pdf

One∏ToRuleThemAll

# **Object Detection with Transformers**

- During training, we pair the predictions and gold objects (padded with "no object"s to the same length) using a maximum-weight bipartite matching algorithm – the Hungarian algorithm. The matching is based on both the classification and regression losses.
- The encoder uses fixed sine positional encodings added to every self-attention layer. The x and yaxes are encoded independently and concatenated.

spatial <sub>I</sub> encoder	oos. enc. decoder	output pos. enc. decoder	AP	Δ	$AP_{50}$	Δ
none sine at input learned at attn. none sine at attn.	none sine at input learned at attn. sine at attn. sine at attn.	learned at input learned at input learned at attn. learned at attn. learned at attn.	32.8 39.2 39.6 39.3 <b>40.6</b>	-7.8 -1.4 -1.0 -1.3	55.2 60.0 60.7 60.3 <b>61.6</b>	-6.5 -1.6 -0.9 -1.4

Table 3 of "End-to-End Object Detection with Transformers" https://arxiv.org/pdf/2005.12872.pdf



ViT

SelfAtt

BERT Training

mBERT RoBERTa

One∏ToRuleThemAll

